



**RBC Global  
Asset Management**

## **RBC Global Asset Management (UK) Limited**

77 Grosvenor Street  
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+44-20-7653-4000

### **Form ADV Part 2B Brochure Supplement for**

Habib Subjally  
Neil Abbot  
Luis Benoliel  
Marcus Lun  
Joseph O'Brien  
Jeremy Richardson  
Romain Scampini  
Julie Thomas  
Dag Wetterwald  
Peregrine Winfield  
Ben Yeoh  
Emily Pilsworth

**29 January 2021**

**This brochure supplement provides information about the Global and International Equities investment management team members above that supplements the RBC Global Asset Management (UK) Limited ("RBC GAM-UK") ADV Part 2A ("brochure"). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you have not received a copy of the brochure or if you have any questions about the contents of this supplement.**



## Form ADV Part 2B Brochure Supplement

**Habib Subjally**

**RBC Global Asset Management (UK) Ltd**

77 Grosvenor Street  
London W1K 3JR  
UNITED KINGDOM  
+44-20-7429-8325

**This brochure supplement provides information about Habib Subjally that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1965

**Formal Education:** BSc (Hons) from the London School of Economics - 1986

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager & Head of Global Equities, 2014 to present

First State Investments, Head of Global Equities, 2006-2014

Credit Suisse, Head of Small & Mid Cap Research, 2004-2006

Invesco, Head of Global Equities, 2002-2003

Merrill Lynch Investment Managers, Head of North American and Global Equities Research and Manager of the Mercury Global Titans Fund, 1994-2002

### **Item 3 Disciplinary Information**

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Mr. Subjally has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Subjally is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Subjally does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr Subjally is Dan Chornous, Chief Investment Officer, RBC Global Asset Management at+1 (416) 974-4587.



## Form ADV Part 2B Brochure Supplement

**Neil Abbott**

**RBC Global Asset Management (UK) Ltd**

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**This brochure supplement provides information about Neil Abbott that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1963

**Formal Education:** B.Com - Birmingham University - 1984

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager, 2014 to present

First State Investments, Portfolio Manager, Global Equities, 2001-2014

Bass PLC (now InterContinental Hotels Group PLC): (Group Audit, Group Treasury, Bass Brewers, Bass Leisure South Africa, Group Corporate Finance), 1990-2001

KPMG, 1984-1990

### **Item 3 Disciplinary Information**

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Mr. Abbott has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Abbott is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Abbott does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Abbott is Habib Subjally, Head of Global Equities, at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Luis Benoliel**

**RBC Global Asset Management (UK) Ltd**

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**This brochure supplement provides information about Luis Benoliel that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1965

**Formal Education:** Master in Business Administration (M.I.T. Sloan School of business) - 1996  
Electrical Engineering Degree (ETSIT, Madrid, Spain) - 1989

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager Global Equities, 2014 to present  
First State Investments, Portfolio Manager, Global Equities, 2005-2013  
Sanford C. Bernstein, 2001-2005  
McKinsey & Co., 1996-2000  
Telefonica I + D , 1990-1994

### **Item 3 Disciplinary Information**

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Mr. Benoliel has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Benoliel is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Benoliel does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Benoliel is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Marcus Lun**

**RBC Global Asset Management (UK) Ltd**

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**This brochure supplement provides information about Marcus Lun that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1973

**Formal Education:** Graduate Diploma in Applied Finance, Financial Services Institute of Australia - 1998  
Bachelor of Commerce – Finance and Economics (Bond University Australia) - 1993

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager Global Equities, 2014 to present  
First State Investments, Portfolio Manager, Global Equities, 2007-2014  
HSBC, Mining Analyst, 2006-2007  
Morgan Stanley, Vice President, Global Materials Analyst, 1999-2006  
Burdett Buckridge Young Limited, Metals & Mining Analyst, 1995-1999

### **Item 3 Disciplinary Information**

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Mr. Lun has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Lun is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Lun does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Lun is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

Joseph O'Brien

**RBC Global Asset Management (UK) Ltd**

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**This brochure supplement provides information about Joseph O'Brien that supplements the RBC Global Asset Management (UK) Limited ("RBC GAM-UK") ADV Part 2A ("brochure"). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1995

**Formal Education:** Bachelor of Science (Honours), Birkbeck College, University of London, 2019

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Portfolio Engineer, 2015 to present

Ignitr Consulting Ltd, Developer, 2015

YourParkingSpace Limited 2014-2015

### **Item 3 Disciplinary Information**

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Mr. O'Brien has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. O'Brien is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. O'Brien does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr O'Brien is Habib Subjally, Head of Global Equities, RBC Global Asset Management at +44-20-7429-8325



## Form ADV Part 2B Brochure Supplement

**Jeremy Richardson**

**RBC Global Asset Management (UK) Ltd**

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+44-20-7429-8165

**This brochure supplement provides information about Jeremy Richardson that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1971

**Formal Education:** BA (Honours) Economics, University of Exeter - 1992

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager, 2014 to present  
First State Investments, Portfolio Manager, Global Equities, 2007-2013  
Credit Suisse Asset Management, European consumer analyst, 2002-2007  
Schroders Investment Management, European retail analyst, 1996-2002

### **Item 3 Disciplinary Information**

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Mr. Richardson has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

---

Mr. Richardson is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Richardson does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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The name and contact information of the person responsible for supervising the investment management activities of Mr. Richardson is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Romain Scampini**

**RBC Global Asset Management (UK) Ltd**

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+44-20-7429-8327

**This brochure supplement provides information about Romain Scampini that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1978

**Formal Education:** Masters in Computer Science, IMERIR – 2001

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Portfolio Manager Global Equities, March 2014 to present  
First State Investments, Portfolio Manager, Global Equities, 2007-2014  
Thomson Financial, Product Specialist, Market QA, 2006-2007  
Becrypt, QA Manager, 2004-2006  
VMC Consulting Compliance Tester, Test Lead, 2002-2004

### **Item 3 Disciplinary Information**

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Mr. Scampini has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Scampini is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Scampini does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Scampini is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Julie Thomas**

**RBC Global Asset Management (UK) Ltd**

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**This brochure supplement provides information about Julie Thomas that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1966

**Formal Education:** BSc, Banking and International Finance, City University - 1993

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager Global Equities, March 2014 to present

First State Investments, Portfolio Manager, Global Equities, 2011-2014

Oriel Securities Partner, Specialist Sales, 2010-2011

ADIA, European Banks Analyst, 2009-2010

Threadneedle Asset Management European Banks Analyst, 2005-2009

Morley Fund Management European Banks Analyst, 2001-2005

Goldman Sachs Asset Management European Portfolio Manager, 1996-2001

CIN Management UK Portfolio Manager, 1993-1996

New Japan Securities Europe Ltd Japanese Equity Sales, 1986-1990

### **Item 3 Disciplinary Information**

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Ms. Thomas has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

---

Ms. Thomas is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

---

Ms. Thomas does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Ms. Thomas is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Dag Wetterwald**

**RBC Global Asset Management (UK) Ltd**

77 Grosvenor Street  
London W1K 3JR  
UNITED KINGDOM  
+44-20-7429-8384

**This brochure supplement provides information about Dag Wetterwald that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

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**Year of Birth:** 1969

**Formal Education:** MSc, Finance, London Business School, 2002  
MSc, Economics, University of Oslo in Norway, 1993

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager, 2014 to present  
First State Investments, Portfolio Manager, Global Equities, 2006-2013  
Dimensional Fund Advisors (DFA), quantitative research, 2002-2006  
Carnegie Asset Management, Portfolio Manager, 1995-2001  
Statistics Norway, Research Role, 1992-1995

### **Item 3 Disciplinary Information**

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Mr. Wetterwald has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Wetterwald is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Wetterwald does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Wetterwald is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Peregrine Winfield**

**RBC Global Asset Management (UK) Ltd**

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London W1K 3JR  
UNITED KINGDOM  
+44-20-7429-8375

**This brochure supplement provides information about Peregrine Winfield that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

---

**Year of Birth:** 1965

**Formal Education:** Economic History, Durham University - 1987

#### **Business Background:**

RBC Global Asset Management, Senior Portfolio Manager, 2014 to present

First State Investments, Portfolio Manager, Global Equities, 2005-2013

Consultancy work, 2002-2005

JPMorgan Investment Bank, Director & SVP, 1999-2002

Salomon Brothers, VP Latin America Equity Research, 1998-1999

BNP Paribas, Director of Latin America Equity Research, 1994-1998

Hill Samuel Investment Management, Fund Manager, 1987-1993

### **Item 3 Disciplinary Information**

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Mr. Winfield has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Winfield is not actively engaged in any other investment-related businesses or other business activities.

### **Item 5 Additional Compensation**

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Mr. Winfield does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Winfield is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

**Benjamin Yeoh**

**RBC Global Asset Management (UK) Ltd**

77 Grosvenor Street  
London W1K 3JR  
UNITED KINGDOM  
+44-20-7429-8325

**This brochure supplement provides information about Benjamin Yeoh that supplements the RBC Global Asset Management (UK) Limited (“RBC GAM-UK”) ADV Part 2A (“brochure”). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.**

### **Item 2 Educational Background and Business Experience**

---

**Year of Birth:** 1978

**Formal Education:** MA, Cambridge University - 1999  
Harvard, Herchel Smith scholarship - 1999/2000

#### **Business Background:**

RBC Global Asset Management (UK) Limited, Senior Portfolio Manager Global Equities, 2013 to present  
First State Investments, Portfolio Manager, Global Equities, 2011-2013  
Atlantic Equities, Healthcare Analyst, 2009-2011  
Dresdner Kleinwort, Director, Healthcare Analyst, 2005-2009  
ABN Amro, Director – Healthcare Analyst, 2003-2005  
HSBC/James Capel, Healthcare Analyst, 2001-2003

### **Item 3 Disciplinary Information**

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Mr. Yeoh has no legal or disciplinary events to disclose.

### **Item 4 Other Business Activities**

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Mr. Yeoh sits on the Responsible Investing Advisory Committee for the Royal London CIS Sustainable Leaders Trust. He is not actively engaged in any other investment-related businesses or significant other business activities apart from his investment advisory work for RBC GAM UK.

### **Item 5 Additional Compensation**

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Mr. Yeoh receives a nominal, flat fee for the services described in Item 4 above. Mr. Yeoh does not receive any other additional compensation other than a salary and bonus from RBC GAM-UK.

### **Item 6 Supervision**

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Mr. Yeoh is Habib Subjally, Head of Global Equities at +44-20-7429-8325.



## Form ADV Part 2B Brochure Supplement

Emily Pilsworth

**RBC Global Asset Management (UK) Ltd**

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London W1K 3JR  
UNITED KINGDOM  
+44-20-7029-0763

This brochure supplement provides information about Emily Pilsworth that supplements the RBC Global Asset Management (UK) Limited ("RBC GAM-UK") ADV Part 2A ("brochure"). You should have received a copy of that brochure. Please contact us at +44-20-7653-4743 if you did not receive a copy of the brochure or if you have any questions about the contents of this supplement.

### Item 2 Educational Background and Business Experience

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**Year of Birth:** 1994  
**Formal Education:** BSc Economics from The University of Exeter - 2016

#### **Business Background:**

##### **RBC Global Asset Management – Sept 2019 - Present**

RBC Wealth Management Graduate Scheme – Sept 2017 – Sept 2019

Miton Group – Jan 2017 – August 2017

Deloitte – Sept 2016 – December 2016

### Item 3 Disciplinary Information

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Emily Pilsworth has no legal or disciplinary events to disclose.

### Item 4 Other Business Activities

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Emily Pilsworth is not actively engaged in any other investment-related businesses or other business activities.

### Item 5 Additional Compensation

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Emily Pilsworth does not receive any additional compensation other than a salary and bonus from RBC GAM-UK.

### Item 6 Supervision

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RBC GAM-UK has in place written supervisory procedures that are reasonably designed to detect and prevent violations of the securities laws, rules and regulations, including a Code of Ethics that helps guide our employees in ensuring the interests of our clients come first. We also conduct continuous monitoring and oversight of the accounts we manage through our trade order management and portfolio compliance platforms. Refer to Item 13 in the Firm Brochure for more information on supervision.

The name and contact information of the person responsible for supervising the investment management activities of Emily Pilsworth is Habib Subjally, Head of Global Equities at +44-20-7429-8325.